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POPs [Funding]

Sample Application Form for Global Environment Facility Funding

**GEF ENABLING ACTIVITIES OUTLINE OF A PROPOSAL
UNDER EXPEDITED PROCEDURES**

Sample With Indicative Entries

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GEF ENABLING ACTIVITIES OUTLINE OF A PROPOSAL UNDER EXPEDITED PROCEDURES

SAMPLE WITH INDICATIVE ENTRIES

PROJECT IDENTIFIERS	
1. Project Number: <i>(Leave blank)</i>	3. GEF Implementing Agency/Executing Agency: United Nations Environment Programme
2. Project Name: Enabling activities for the Stockholm Convention on Persistent Organic Pollutants (POPs): National Implementation Plan for <i>(country)</i>	
4. Country eligibility (a country is eligible if it has signed the Stockholm Convention): <i>(Country)</i> signed the Stockholm Convention on <i>(date)</i> .	
5. Country: <i>(Country)</i>	
6. Name of GEF national operational focal point and date the endorsement letter was signed (attach a copy of the letter): <i>(Focal point's name and function/agency)</i> <i>(Endorsement letter signed on x date)</i>	
SUMMARY OF PROJECT OBJECTIVES, ACTIVITIES, AND EXPECTED OUTCOMES	
7. Project objectives: Within the overall objective of the Stockholm Convention, which is to protect human health and the environment from POPs, the project will (i) prepare the ground for implementation of the Convention in <i>(country)</i> ; (ii) assist <i>(country)</i> in meeting its reporting and other obligations under the Convention; and (iii) strengthen <i>(country)</i> 's national capacity to manage POPs and chemicals generally.	
8. Project activities: The proposed project activities will follow the step-wise process set out in the GEF "Initial Guidelines for Enabling Activities for the Stockholm Convention on Persistent Organic Pollutants". In summary, these are (i) determination of coordinating mechanisms and organization of process; (ii) establishment of a POPs inventory an assessment of national infrastructure and capacity; (iii) setting of priorities and determination of objectives; (iv) formulation of a National Implementation Plan and specific Action Plans; and (v) endorsement of the National Implementation Plan by stakeholders. <i>(Adapt as necessary)</i>	
9. Project duration: 2 years	
10. Project expected outcomes: (i) preliminary inventories of POPs; (ii) assessment of national capacity to implement the Stockholm Convention; (iii) National Implementation Plan, including specific Action Plans and strategies required under	

<p>(iv) (v)</p>	<p>Articles 5 and 6; strengthened POPs management infrastructure; and capacity to meet reporting obligations under the Stockholm Convention.</p>
<p>11. Estimated total budget (in US\$ or local currency): (Amount) <i>(To calculate, please use the attached form. Include in-kind contributions.)</i></p>	
<p>12. Amount being requested from the GEF (in US\$ or local currency): (Proposals of US\$500,000 or less can be approved by the GEF CEO through the expedited procedure.)</p>	
<p>INFORMATION ON INSTITUTION SUBMITTING PROJECT BRIEF</p>	
<p>13. Information on the organization in the country submitting the proposal: The <i>(eg Ministry of Environment)</i> is the lead agency responsible for chemicals management in general and POPs in particular. It chairs the inter-ministerial committee on Chemical Safety and hosts the POPs focal point.</p>	
<p>14. Information on the proposed executing organization (if different from above. The grant has to be executed by an organization in the requesting country): The <i>(eg Ministry of Environment)</i> will be the lead executing organization in collaboration with a multi-stakeholder coordinating committee comprising the <i>(eg the Ministries of Environment, Agriculture, Health, and Customs, environmental NGOs and other civil society organizations such as trade unions, and private sector representatives such as industry, trade or farmers' associations and companies that produce, formulate, import or distribute POPs-related pesticides)</i>. The national POPs focal point will be designated focal point for the project.</p>	
<p>15. Date the proposal was submitted to a GEF Implementing/Executing Agency: <i>(Leave blank)</i></p>	
<p>16. Date the proposal was submitted to the GEF Secretariat: <i>(Leave blank)</i></p>	
<p>17. Date the proposal was approved: <i>(Leave blank)</i></p>	
<p>18. Date of first Disbursement: <i>(Leave blank)</i></p>	
<p>INFORMATION ON IMPLEMENTING AGENCY:</p>	
<p>19. Implementing/Executing Agency contact person: (to be completed by implementing agency) Mr Ahmed Djoghlaflaf, Executive Coordinator, GEF Coordination, UNEP, Nairobi, tel. (254 2) 624 165; fax (254 2) 624 041: ahmed@djoghlaflaf.unep.org</p>	

PROJECT DESCRIPTION

[COUNTRY XXX] ENABLING ACTIVITY TO MEET ITS OBLIGATIONS UNDER THE STOCKHOLM CONVENTION ON PERSISTENT ORGANIC POLLUTANTS (POPs)

Background:

Short background on the country in general and the details of the implementing agency:
Landmark legislation. Implementation problems.

Project objectives

Within the overall objective of the Stockholm Convention, which is to protect human health and the environment from POPs, the project will

- i) prepare the ground for implementation of the Convention in (*country*);
- ii) assist (*country*) in meeting its reporting and other obligations under the Convention; and
- iii) strengthen (*country*)'s national capacity to manage POPs and chemicals generally.

Project outcomes

- (i) preliminary inventories of POPs;
- (ii) assessment of national capacity to implement the Stockholm Convention;
- (iii) National Implementation Plan required under Article 7 of the Convention, and specific Action Plans and strategies required under Articles 5 and 6;
- (iv) strengthened POPs management infrastructure; and
- (v) capacity to meet reporting obligations under the Stockholm Convention.

Project Activities

[Important: what follows is taken directly from the GEF guidelines. These are generic guidelines which are expected to be modified to suit the national circumstances of a particular country. If the guidelines do not suit your country, do not hesitate to modify and adapt them. Another important point is that not all countries are at the same stage, or have the same capacity for chemicals/POPs management. Countries that have already completed many of the steps covered by the guidelines should aim to build on these accomplishments and go further. It is important to describe what has already been done, and what the present enabling activity project relies on. This is the "baseline" situation in GEF jargon.]

i) Determination of coordinating mechanisms and organisation of process (Step 1)

This would include, for example:

- identification and strengthening of national institution/unit to serve as Focal Point;
- determination of multi-stakeholder national co-ordinating committee based on a stakeholder analysis;
- identifying and assigning responsibilities amongst government departments and other stakeholders for the various aspects of POPs management;
- finalization of workplan and timetable; and
- initiation of communication campaign.

Outputs from Step 1

Focal point and national coordinating committee established;
stakeholders and their respective responsibilities are identified; and
workplan and timetable completed.

ii) Establishment of a POPs inventory and assessment of infrastructure and capacity (Step 2)

This would include for example:

- preparation of a National Profile (or core sections thereof as they relate more specifically to POPs);
- establishment of a database in order to create and maintain a reliable and up-to-date inventory (establishment of a Pollutant Release and Transfer Register could be considered);
- preliminary inventory of production, distribution, use, import and export;
- preliminary inventory of stocks and contaminated sites and products; assessment of opportunities for disposal of obsolete stocks;
- preliminary inventory of releases to the environment;
- assessment of infrastructure capacity (including analytical reference laboratories) and institutions to manage POPs including regulatory controls; needs and options for strengthening them;
- assessment of enforcement capacity to ensure compliance;
- assessment of social and economic implications of POPs use and reduction, including the need for the enhancement of local commercial infrastructure for distributing benign alternative technologies/products;
- assessment of monitoring and research and development capacity; and
- identification of POPs related human health and environmental issues of concern; basic risk assessment as a basis for prioritisation of further action taking into account, *inter alia*, potential releases to the environment and size of exposed population.

Output Step 2

National Profile (or core sections thereof);

initial POPs inventory;

report on Assessment of national POPs management infrastructure; and

report on human health and environmental issues of concern.

iii) Priority setting and determination of objectives (Step 3)

This would include for example:

- development of criteria for prioritisation, taking into account health, environmental and socio-economic impact and the availability of alternative solutions; and
- determination of national objectives in relation to priority POPs or issues.

Output Step 3

List of prioritisation criteria; and

objectives with regards to priority POPs issues.

iv) Formulation of National Implementation Plan and Action Plans on specific POPs (Step 4)

This would include for example:

- identification of management options, including phasing out and risk reduction options;
- determination of the need for introduction of technologies, including technology transfer; possibilities of developing indigenous alternatives;
- assessment of the costs and benefits of management options;
- development of a national strategy for information exchange, education, communication and awareness raising, taking into account risk perception of POPs by the public, particularly the least educated; and
- preparation of a draft NIP which should include priorities and proposed sequencing of implementation and estimated cost of proposed activities, including incremental costs where applicable.

Output Step 4

Report on management options;

draft implementation plan; and

cost estimates of implementation.

v) *Endorsement of National Implementation Plan by stakeholders (Step 5)*

This would include for example:

- submission of draft NIP to major stakeholders for comments; dissemination of information and organisation of workshops to obtain commitment of stakeholders, including decision-makers, to implement the NIP; and
- finalization of the NIP.

Output Step 5

Agreed upon NIP.

Stakeholder identification and participation

The major stakeholders are:

-
-
-

Etc.

They will participate through their involvement in a national multi-stakeholder committee comprising representatives of *(name Agencies, Ministries, e.g. Environment, Agriculture, Health, and others such as Customs, environmental NGOs and other civil society organisations such as trade-unions, Private sector e.g. Industry, Trade associations, companies that produce, formulate, import or distribute pesticides, farmers associations etc.)*.

Information dissemination

(Dissemination of information is key to the success of the project which depends greatly on the capacity to secure buy-in and commitment from all stakeholders. It is recognized that dissemination of information amongst stakeholders, and from Government departments to civil society and the private sector should take place throughout the course of the project. This relaying of information is one of the purposes of having the multi-stakeholder committee. In addition, particular effort is to be placed at the beginning of the project to secure stakeholders' buy-in, and at the end of the project to secure stakeholders commitment to implementation of the NIP.)

Information will be disseminated by the following methods/channels:

BUDGET [for each budget line, try to estimate what the in-kind contribution from the participating country will be]

Component	Number of Units	Unit Cost	Total Cost
1. Determination of coordinating mechanism and organising process			
Technical assistance (local)			
Technical assistance (international)			
Training			
Equipment			
Workshops/meetings			
Travel			
Others (specify)			
Sub-total			
2. POPs inventory and assessment of infrastructure and capacity			
Technical assistance (local)			
Technical assistance (international)			
Training			
Equipment			
Workshops/meetings			
Travel			
Others (specify)			
Sub-total			
3. Priority setting and determination of objectives			
Technical assistance (local)			
Technical assistance (international)			
Training			
Workshops/meetings			
Travel			
Others (specify)			
Sub-total			
4. Formulation of NIP, and specific action plans on POPs			
Technical assistance (local)			
Technical assistance (international)			
Training			
Workshops/meetings			
Travel			
Others (specify)			
Sub-total			
5. Endorsement of NIP by stakeholders			
Technical assistance (local)			
Technical assistance (International)			
Workshops/meetings			
Travel			
Others (specify)			
Sub-total			
<i>Total Cost of Enabling Activities</i>			

Annex A: Description of POPs related issues, including baseline activities

This annex should describe in 2 to 6 pages what are the POPs related issues in the country and what has been done and is being done.

For example information could be provided on:

POPs pesticides, are they still legally used, were they banned, when?

Existence of stockpiles?

Major potential sources of by-products?

Are there users / producers / distributors / importers / exporters of POPs?

Evidence of harm to human health or the environment?

Baseline: is there a National Profile, is there legislation, is there already a framework for coordination which can serve as basis for national coordinating committee, has an inventory of obsolete stocks already taken place etc?